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| APPLICATION NO. | F | ILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. | |
|-----------------------|-------|----------------|----------------------|-------------------------|------------------|--|
| 10/735,418 | | 12/11/2003 | John C. Reed | BURNHAM.004A | RNHAM.004A 2129 | |
| 20995 | 7590 | 05/05/2005 | | EXAMINER | | |
| KNOBBE I | MARTE | NS OLSON & BEA | MONDESI, ROBERT B | | | |
| 2040 MAIN FOURTEEN | | | ART UNIT | PAPER NUMBER | | |
| IRVINE, CA | | | | 1653 | | |
| | | | | DATE MAILED: 05/05/2009 | ; | |

Please find below and/or attached an Office communication concerning this application or proceeding.

| | | Application No. | Applicant(s) | | | | | |
|--|--|---|---|---|--|--|--|--|
| Office Action Summary | | 10/735,418 | REED ET AL. | | | | | |
| | | Examiner | Art Unit | | | | | |
| | | Robert B. Mondesi | 1653 | | | | | |
| Period fo | The MAILING DATE of this communication or Reply | appears on the cover sheet w | ith the correspondence address | | | | | |
| THE - External after - If the - If NO - Failt Any | MAILING DATE OF THIS COMMUNICATION OF THIS COMMUNICATION OF THIS COMMUNICATION OF SIX (6) MONTHS from the mailing date of this communication of period for reply specified above is less than thirty (30) days, to period for reply is specified above, the maximum statutory period for reply within the set or extended period for reply will, by some reply received by the Office later than three months after the new patent term adjustment. See 37 CFR 1.704(b). | ON. R 1.136(a). In no event, however, may a n. a reply within the statutory minimum of thi eriod will apply and will expire SIX (6) MOI tatute, cause the application to become A | reply be timely filed ty (30) days will be considered timely. NTHS from the mailing date of this communication. BANDONED (35 U.S.C. § 133). | ` | | | | |
| Status | | | | | | | | |
| 1)⊠ | Responsive to communication(s) filed on Q | 77 March 2005. | | | | | | |
| 2a)⊠ | This action is FINAL . 2b) | This action is non-final. | | | | | | |
| 3)□ | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. | | | | | | | |
| Disposit | ion of Claims | | | | | | | |
| 5)⊠ 6)⊠ | Claim(s) <u>1-45</u> is/are pending in the applica 4a) Of the above claim(s) <u>1-17,20,22 and 33</u> Claim(s) <u>23,27,29,32 and 33</u> is/are allowed Claim(s) <u>18,19,21,24-26,30,31 and 39</u> is/a Claim(s) <u>28,38 and 40-45</u> is/are objected to Claim(s) are subject to restriction and | 24-37 is/are withdrawn from cond. d. dre rejected. o. | onsideration. | | | | | |
| Applicat | ion Papers | | · | | | | | |
| • | The specification is objected to by the Example The drawing(s) filed on 29 June 2004 is/are Applicant may not request that any objection to | e: a)⊠ accepted or b)□ obje | · | | | | | |
| 11) | Replacement drawing sheet(s) including the co The oath or declaration is objected to by the | | | | | | | |
| Priority (| under 35 U.S.C. § 119 | | | | | | | |
| 12)□ a) | Acknowledgment is made of a claim for force All b) Some * c) None of: 1. Certified copies of the priority docum 2. Certified copies of the priority docum 3. Copies of the certified copies of the application from the International Bu See the attached detailed Office action for a | nents have been received. nents have been received in A priority documents have beer reau (PCT Rule 17.2(a)). | Application No received in this National Stage | | | | | |
| Attachmer | | A) 🗖 1-1 | Summan (DTO 442) | | | | | |
| 2) Notice No | ce of References Cited (PTO-892) ce of Draftsperson's Patent Drawing Review (PTO-948 mation Disclosure Statement(s) (PTO-1449 or PTO/SE er No(s)/Mail Date |) Paper No | Summary (PTO-413) s)/Mail Date nformal Patent Application (PTO-152) | | | | | |

DETAILED ACTION

This Office action is in response to the amendment filed March 07, 2005. Claims 38-45 are new. Claims 1-45 are pending. Claims 19, 21, 23-33, and 38-45 as drawn to elected Invention VI are presently under examination.

Withdrawal of Objections and Rejections

The rejection of claims 21, 24-28, 30 and 33 under 35 U.S.C § 112, second paragraph is withdrawn.

The rejection of **claims 21, 24-30 and 32** under 35 U.S.C. 102(b) as being anticipated by Petros et al., 2000 is withdrawn.

Maintenance of rejections

The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

Claim Rejections - 35 USC § 112

Claims 24-26 and 30 remain rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

This rejection was explained in the previous Office action.

Claims 19, 21 remain rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

The rejection is maintained because presently portion of the claim reads "comprising screening compounds using BLANK for binding to ...", the act of simply deleting NMR has not further explained "using" and in fact it has made the claim more ambiguous, since it is no longer understood what is being used to screen compounds with.

Claim Rejections - 35 USC § 102

Claims 18 and 31 remain rejected under 35 U.S.C. 102(b) as being anticipated by Li et al.

This rejection was explained in the previous Office action.

Response to applicant's arguments

In regards to the rejection of claims 24-26 and 30 as not complying with the written description requirement, the applicants assert that the claims have been amended to include functional language that require the polypeptide to possess a specific biological activity, i.e.; the ability to bind Bcl-2 and modulate its activity so as to induce apoptosis; therefore there is no substantial variability that falls within the species which fall within the scope of the claim. Furthermore, the structure of the fragment in claims 25 and 26 are disclosed in the specification for example at page X, and paragraph X!!!

Applicants assert further that there is extensive support in the specification as filed for functional derivatives and natural variants which are inductive of apoptosis, including: example 3 which provides methods of testing compounds for the function, Example 7 and other parts of the specification which provide mutants which remove all

or part of the DNA-binding domain and fragments which include the DC1 region of TR3; Example 6, 7, 8 and 9 which provide methods for screening peptides, and structural-based drug design; all of which can be used to identify functional derivatives and natural variants.

Applicants' arguments have not been found persuasive because the applicants have not provided for an association of the structure of the fragment with function (biological activity). In another words the applicants have not described the structural characteristics of the mentioned species that contributes to the biological activity of the functional fragment of TR3, that in effect leads to Bcl-2 binding and hence is inductive of apoptosis. The mentioned examples 6-9 are methods that investigate further the mentioned biological activity that may be associated with a given fragment but neither describes which portion of the TR3 fragment is responsible for the biological activity. Furthermore the examiner does not understand what is meant by page X, paragraph X since the specification is not numbered using Roman numerals.

Applicants urge that claim 18 is drawn to: a method of identifying molecules that induce apoptosis by determining the ability of the molecules to bind to Bcl-2-family protein in the loop region and modulate the activity of said protein so as to be inductive of apoptosis and with respect to claim 18, Li et al. do not anticipate identifying a molecule which binds to the loop reign of Bcl-2 or family member, because the importance of the loop region was not recognized in the prior art. In regards to claim 31,

the applicants urge that Li et al. do not provide any language to suggest that the two hybrid system included a Bcl-2 family domain protein.

Applicants arguments have not been found persuasive because the prior art does recognize the importance of the loop region of the Bcl-2 family, Petros et al., cited in the previous office action, teach that the structure of Bcl-xL (a Bcl-2 family protein) consists of eight alpha-helices connected by loops and the loops between helix 3 and 4, helix 4 and 5, and helix 5 and 6 consist of 14, 4 and 3 residues, respectively, and in each case, the loops allow nearly 180 degrees chain reversal. Petros et al. teach further that the binding site for the Bad peptide is a groove lined with hydrophobic side chains formed predominantly by helix 2, 3, 4 and 8, and the four-residue loop connecting helix 4 to helix 5 (page 2529, column 2, lines 1-5); and therefore clearly the prior art recognizes the importance of the loop region.

In response to applicants' assertions in regards to claim 31 the examiner would like to direct he applicants' attention to column 2, lines 1-4 of the third paragraph on page 47542, wherein Li et al. teach that in order to explore the apoptosis regulatory mechanism related to Bcl-2 family membrane proteins, a search was conducted for proteins that interacted with myeloid cell leukemia protein 1 (MCL1), a Bcl-2 homologue, using the yeast two hybrid system.

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Art Unit: 1653

New rejection(s) and Objections

Claim Objections

Claim 28 objected to because of the following informalities: In claim 28 the applicants have inadvertently deleted "wherein", an amendment to the claim reinserting wherein will over come the objection.. Appropriate correction is required.

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claim 39 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 39 is an independent claim; therefore TCTP needs to be spelled out in the first instance of use.

Conclusion

Claims 18-19, 21, 24-26, 30-31 and 39 are rejected.

Claims 28 and 38 and 40-45 are objected. Claim 38 and 40-45 are objected to for depending from a rejected base claim.

Claims 23, 27, 29, 32-33 are allowed.

Applicants' amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Robert B Mondesi whose telephone number is 571-272-0956. The examiner can normally be reached on 9am-5pm, Monday-Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jon Weber can be reached on 571-272-0925. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Robert B. Mondesi Patent Examiner Group 1653

014-29-05

PRIMARY EXAMINER

At 44 (653